

Application Form

FREEDOM ISA

Part A Personal details

Please use black ink and BLOCK CAPITALS throughout

Do you already have a Freedom ISA or a Freedom PEP?

No

Yes Please give your client reference number

Your title

Mr/Mrs/Miss/Ms/Other

Forename(s) in full

Surname

Your permanent UK residential address

Postcode

Daytime telephone number

Evening telephone number (if different)

E-mail address

It is an Inland Revenue requirement that you supply your date of birth and National Insurance number, if you have one. You will find your National Insurance number on your payslip, form P45/P60, correspondence from the Inland Revenue/DSS or a pension order book.

Date of birth

National Insurance (NI) number

I do not have an NI number

Part B Investment details

I apply to subscribe for an Avalon Freedom ISA for the tax year ending and, where applicable, each subsequent year as detailed below.

5 April 2 0

How much do you want to invest?

The minimum total lump sum/transfer investment is £1,000 and the minimum monthly payment is £80.

Lump sum £ Monthly £ Transfer £ approx

(Not available for investment trusts)

Please ensure all cheques are made payable to Avalon Client Money Account and that all subscriptions are made from a personal bank account (business accounts are not acceptable).

2% of all subscriptions received will be used as a cash reserve to fund ongoing charges.

If you have selected regular monthly payments be sure to complete the Direct Debit instruction. You may make the first payment by cheque.

Please give details below of how you would like your ISA invested.

The minimum investment in any one fund is

- £500 for lump sum /transfer investments
- £40 for monthly payments

Name of Fund Management Company	Full name of individual fund / investment trust	% of lump sum investment	% of monthly payment
1	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
2	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
3	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
4	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
5	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
6	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
7	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
8	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
9	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
10	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
Total must be 100%		100 %	100 %

Part C Income withdrawal details

Some of your investments may distribute income in the form of dividends or interest from corporate bonds. These can be reinvested into your fund or taken by you as income.

Alternatively you may elect to take a fixed income from sale of units.

Do you want an income from your Freedom ISA?

1. No Any income will be reinvested. Please go to part D.
2. Yes I require an income from dividend distribution. I understand any outstanding charges will be deducted prior to payment
3. Yes I require a fixed income of £..... to be raised by proportionate sale of assets

Income will be applied up to the 28th of January, April, July and October or the nearest preceding working day and paid out within 10 working days.

How often do you want your income to be paid?

Quarterly Half-yearly Yearly

If you ticked 2. above, please ensure that the funds you have selected provide dividend payments at the frequency you have requested.

Starting next:

January April July October

Income has to be paid direct to your **personal** Bank or Building Society account.

Details of the Bank/Building Society that you want your income to be paid into

Name of Bank/Building Society

Branch address and postcode

Postcode

Sort code

Name of account holder(s)

Account number

Building Society reference number (if applicable)

Part D Declaration

Please read this section carefully before signing and dating the declaration.

Important - Data Protection Act

Avalon Investment Services Ltd will use the personal information you have given to provide and service the ISA you have applied for. The information may also be shared with a third party(ies) and they or we may contact you by mail or telephone or other reasonable form of communication to inform you of other products or services which may be of interest to you. If you would prefer not to receive details of other products please tick this box.

The use of your personal data and a description of the general party(ies) to whom we may disclose it can be found in Avalon Investment Services Ltd's registration and the Data Protection Register. You can apply for details of information held by us, although we may have to charge a small fee. If you find any of this information to be incorrect it is your right to require us to correct them.

If you do not wish to receive paper valuations and transaction statements please tick this box.

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I am resident and ordinarily resident in the UK for tax purposes or, if not so resident perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK, or I am married to, or in a civil partnership with, a person who performs such duties and I will inform Avalon Investment Services Ltd if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- I will inform Avalon Investment Services Ltd promptly of any changes in my personal details as shown on this document.

I authorise Avalon Investment Services Ltd:

- to hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments and;
- on my written request to transfer or pay to me Freedom ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- To verify my identity for anti money laundering purposes. This may include obtaining information about me from a credit reference agency and this information will only be used for verification of my identity.

I agree to the Freedom ISA Terms and Conditions

I declare that this application has been completed correctly to the best of my knowledge and belief.

Applicant's signature

Date

Instruction to your Bank/Building Society to pay by Direct Debit

Please fill in the form and return to Avalon Investment Services Ltd, PO Box 35 Tetbury GL8 8ZF

Originator's identification number

4	0	4	3	0	8
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Reference number

1 Full name and address of your Bank or Building Society branch

To the Manager
Bank/Building Society
Postcode

2 Name(s) of account holder(s)

3 Account number

4 Sort code number

5 Please pay Avalon Investment Services Ltd Direct Debits from the account detailed in the instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that the instruction may remain with Avalon Investment Services Ltd and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit instructions from some types of accounts.

This guarantee should be detached and retained by the payer.

Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that take part in the Direct Debit Scheme. The efficiency and security of the scheme is monitored and protected by your own Bank or Building Society.
- If the amounts to be paid or the payment dates change, Avalon Investment Services Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed.
- If an error is made by Avalon Investment Services Ltd or your Bank or Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time by writing to your Bank or Building Society. Please also send a copy of your letter to us.



Independent Financial Adviser's details

This section should be completed by your Independent Financial Adviser (IFA).

IFA's details

Contact Name:	<input type="text"/>
Name of Firm:	<input type="text"/>
Company Address:	<input type="text"/> <input type="text"/> <input type="text"/>
	Postcode
Company Tel no:	<input type="text"/>
Company Fax no:	<input type="text"/>
Company e-mail:	<input type="text"/>
Network (if applicable):	<input type="text"/>

With regard to the Distance Marketing Directive please confirm what type of contract this is:

Distance Non-distance

Commission	Initial	<input type="text"/> %	FSA Number	<input type="text"/>	
	Annual	<input type="text"/> %			
IFA's name	Print:	<input type="text"/>		Date	<input type="text"/> / <input type="text"/> / <input type="text"/>
	Signature:	<input type="text"/>			

Please note: The identity of any third party will also need to be made known to Avalon Investment Services Ltd for verification. He/she may also need to sign an additional declaration before we can process the application. Please contact Avalon Investment Services Ltd for further details.

Please note the following important information:

Avalon Investment Services Ltd does not give advice on the selection of investments and strongly recommends that you consult your Independent Financial Adviser before making investment decisions.

Share/unit prices can go down as well as up. You may not get back the full amount invested when the account is closed. Any tax reliefs referred to are those that currently apply and their value depends on your individual circumstances.

Full details of the Freedom ISA can be found in the Key Features document.

Past performance is not necessarily a guide to future performance.

Part E Checklist

Have you?...

- read the Freedom ISA brochure, Key Features and Terms and Conditions?
- read the Application Form thoroughly, completed all relevant sections, signed and dated the form?
- enclosed a cheque made payable to Avalon Client Money Account if investing a lump sum or paying the first monthly contribution by cheque? If your subscription is being made from a Building Society Account, please ensure your name is printed on the cheque. Failure to do so will result in your application being delayed.
- completed the Direct Debit instruction if you are making regular monthly payments, and/or completed the separate Transfer Authority Form if transferring an ISA or ex PEP into your Freedom ISA?
- included your National Insurance number if you have one? If you do not have a National Insurance number then please tick the box to say that you do not have one.
- enclosed a completed Identity Verification Certificate?

Part F What to do now

- Thank you very much for taking the time to apply for a Freedom ISA from Avalon.
- If you are making regular monthly payments, remember to detach and keep the guarantee.
- Keep the remaining literature safe for future reference.
- **Please return your fully completed form to Avalon Investment Services Ltd., 2 Market Place, Tetbury, Glos, GL8 8DA**

Avalon is the trading name of Avalon Investment Services Ltd
Registered Address: 2 Market Place, Tetbury, Gloucestershire GL8 8DA
Registered number: 3792386 England Authorised and Regulated by the FSA

www.avaloninvestment.com

